

TERM OF REFERENCE

USAID-supported Program Strengthening Organizational and Administrative Capacity for Improved Fisheries Management (SOACAP-IFM)

PROGRAM ACTIVITY 2.1: CTI-CFF PPP PREPARATION: EXPERT-CONSULTATION MEETING ON PPP DESIGN AND ARRANGEMENT JAKARTA

1. INTRODUCTION

U.S. Agency for International Development's Regional Development Mission for Asia (USAID-RDMA) through US Department of Interior (DOI) for the period of 2017 – 2019 supports Coral Triangle Initiative on Coral Reefs, Fisheries, and Food Security (CTI-CFF) to develop fisheries related activities that can promote sustainable fisheries practices in the CTI region, in order to combat illegal, unreported and unregulated fishing (IUUF), promote sustainable fisheries, and conserve marine biodiversity. This support has been settled through a signed Memorandum of Understanding (MOU) between the CTI-CFF Regional Secretariat and the US DOI, with a work plan entitled Strengthening Organizational and Administrative Capacity for Improved Fisheries Management (SOACAP-IFM).

The SOACAP-IFM program aims to enrich the implementation of ecosystem approach to fisheries management (EAFM) in the CTI member countries through improving the use of catch documentation and traceability (CDT) systems in fisheries and seafood value-chain as an instrument to improve market of the products, as well as to combat illegal, unreported and unregulated fishing and promote sustainable fisheries for livelihoods and food security in the Asia and Pacific region. The 13th Senior Official's Meeting in Makati Philippines, 2018 has acknowledged the last version of the proposed SOACAP-IFM activities as mentioned in the SOM-13 decision Number 11.1, Attachment 11.b (point 5b) "*Acknowledged the last version of the SOACAP-IFM Work Plan, comprising of 2 operational objectives with total of 7 activities for a period of 2017 – 2019....*".

The SOACAP-IFM work plan is aligned with other USAID-supported programs in particular the USAID Oceans and Fisheries Partnerships (the USAID Oceans) and the USAID SEAFDEC project. The USAID Oceans is one of the USAID portfolios designed for strengthening regional cooperation to combat IUUF, promote sustainable fisheries, and conserve marine biodiversity in the Asia-Pacific region. Therefore, the CTI-CFF Regional Secretariat work closely with USAID Oceans and the USAID SEAFDEC Project to promote and inspire the development and implementation of CDT system including investment approach through public-private partnership, and how to make use of the CDT data and other environmental data available to enrich the EAFM Plan with a support of scientific group.

This activity 2.1. CTI-CFF Public-Private Partnership (PPP) Preparation: Expert-Consultation Meeting on PPP Design and Arrangement, is designed to exchange views among relevant CT governments and development sectors on any possible PPP proposals and arrangement for sustainable fisheries sectors. It includes development of accountable fisheries information systems such as catch documentation and traceability of seafood products in value-chain, where would be required by international markets. In addition, this activity is a preparatory meeting for the CTI-CFF PPP on sustainable fisheries management amongst related stakeholders/parties. The meeting is intended to discuss appropriate and suitable PPP arrangement including necessary steps and actions to ensure suitable and best PPP arrangement for appropriate infrastructure and technology investment from point of catch through post-harvest sectors to support sustainable fisheries in CTI member countries.

The PPP has been recognized and become an economic model of development to address the challenges in financial constraints of the Government, improvement of quality of services, cost-risk allocation effectiveness, and faster implementation. The importance of PPP in fisheries sectors has also been recognized in a Resolution 2/12 on Sustainable Coral Reefs Management of the UNEA-2 held in Nairobi, 23-27 May 2016. The resolution encourages governments to further develop partnerships with industry, including fisheries, aquaculture and tourism, and civil society, and the establishment of public-private partnerships. The CTI-CFF Regional Plan of Action has recognized the importance to develop financial investment for fishers including PPP to promote sustainable fisheries (Regional Action 2 of respective Target 2 & 3 of Goal 2: EAFM).

However, the implementation of the PPP including design and arrangement is challenge, particularly in fisheries sectors as this sector is considered as a high-risk investment return for private sectors or for financial institutions. Besides, the implementation requires the government (or development sectors) to have ample capacity and competency to adopt the PPP concept and develop business partnerships including mobility of business concerns and potentials within sustainable fisheries sectors. Furthermore, WorldFish Center has indicated this challenge of difficulties in deciding the best and suitable PPP arrangement, as many options exist for such partnership arrangements where differences among the partnerships can be delicate (Weirowski & Hall, 2008). It is then important that relevant governments and development sectors build better understanding on the concepts of PPPs being proposed for fisheries sectors.

PPP in the CTI-CFF member country is not a new theme. All member countries have PPP modalities i.e. national policy, framework, and implementing institution, which support the development of PPP arrangement and projects for public services and infrastructures. A specific PPP institution in each member countries has been identified as listed in the section 8 of this TOR. With those modalities, PPP design and arrangement in fisheries sectors can be explored and developed, to some extent, by learning from relevant country's experiences.

In the framework of the USAID Oceans, PPP becomes one of the work streams that is expected to bring participation of private sectors for development of application of CDT systems and EAFM. Aligning with the USAID Oceans work stream on PPP, CTI-CFF Regional Secretariat in support of the USAID/RDMA will play its role in developing PPP concept and practices in sustainable fisheries for the CT member countries. It is expected to, not only support for CDT systems application, and EAFM practices, but also provide a general PPP framework to member countries to develop economic performances in their fisheries sectors.

However, the challenges for the PPP developments in the context of CTI-CFF, on the other side, are recognized. Not only does it lie on the capacity of the member countries, particularly the governments to develop such as business partnerships with their existing national framework, but, it needs also mobility of business concerns to understand the business potential embedded in the sustainable fisheries sectors of each member countries. Therefore, any efforts to develop PPP arrangement in the fisheries sectors of the CTI-CFF framework should consider building-up better understanding and business capacity of the major player i.e. relevant governmental agencies to design and implement the PPP concept.

2. OBJECTIVES

The objectives of this activity are to:

1. introduce and build better understanding concept of PPP in sustainable fisheries to CT member countries through National Coordination Committee of CTI-CFF;
2. develop better and suitable PPP arrangement which allows member countries to actively participate in the development of PPP activities related to improve sustainable fisheries practices i.e. CDT systems in seafood value-chains;
3. establish a quasi PPP task force to assist development and preparation of countries PPP proposals and arrangement.

3. OUTPUTS

The outputs of this activity are:

- Report on the PPP preparation in sustainable fisheries
- List of PPP task force

4. OUTCOMES

The outcomes of this activity are to have better understanding and active participation of the CT member countries to consider suitable and best PPP design and arrangement that meet the needs of the countries in clearly defined public services and infrastructure of fisheries sectors, and to prepare a generic PPP Task Force to guide the development of PPP arrangement.

5. PPP Themes

This meeting will explore essential mechanisms and framework for PPP arrangement in fisheries sectors, identifying countries' potentials and challenges of PPP areas of development, financial mechanisms, and exchange views on building a strategic plan to promote PPP arrangement to support development of CDT system of seafood products for all value-chains as to increase access of local product entering national and international markets.

The following proposed PPP themes are developed from Weirowski and Hall (2008), which are:

1. Infrastructure and technology investment for improving CDT systems and other related fisheries information systems;
2. Supply-chain management, market access, and food safety including production standards, post-harvest logistics and handling, processing infrastructure, market information and trade system; and certification.
3. Financial Services including microcredit (or small-scale funding) mechanisms for fishers;
4. Capacity building including PPP-training and CDT-technical training.

6. USAID VERIFIABLE INDICATORS, MEANS OF VERIFICATION & IMPORTANT ASSUMPTIONS

USAID Verifiable Indicators	Means of Verification	Important Assumptions
PPP5: Number of new USG-supported public-private partnerships (PPPs) formed	Reports	<ul style="list-style-type: none"> Assuming support from host country NCC Assuming support from USAID Oceans Assuming support from country chamber of commerce

7. SCOPE OF ACTIVITY

The scopes of this activity consist of:

1. Preparation phase including TOR formulation, circulating Save-the-Date letter,
2. Communication and coordination with potential Resource Persons and participants from the NCCCs,
3. Communicating and coordinating with NCC Indonesia as co-organizer;
4. 2-day Workshop with Chairmanships arrangement, discussion sessions, Chairman's summary and recommendation,
5. Reporting and Documentation.

8. POTENTIAL PARTICIPANTS AND RESOURCE PERSONS

1. **Participant from 6 countries.** Each consists of 2 persons recommended by NCCs. They might come from NCC representing EAFM Working Group or from any other relevant institutions as suggested in the following table:

Country	Potential participants
Indonesia	NCC CTI-CFF, represented by EAFM WG member
	<i>1 suggested PPP-relevant participant from:</i> National Development Planning Agency https://www.bappenas.go.id/index.php?cID=188
Malaysia	NCC CTI-CFF, represented by EAFM WG member
	<i>1 suggested PPP-relevant participant from:</i> Public Private Partnership Unit (UKAS) Prime Minister's Department http://www.ukas.gov.my/en/home
Philippines	NCC CTI-CFF, represented by EAFM WG member
	<i>1 suggested PPP-relevant participant from:</i> Public-Private Partnership Center https://ppp.gov.ph/?page_id=20

Country	Potential participants
Papua New Guinea	NCC CTI-CFF, represented by EAFM WG member
	<i>1 suggested PPP-relevant participant from:</i> Department of National Planning & Monitoring https://pppknowledgelab.org/countries/papua-new-guinea
Solomon Islands	NCC CTI-CFF, represented by EAFM WG member
	<i>1 suggested PPP-relevant participant from:</i> Chamber of Commerce, Solomon Islands http://www.pireport.org/articles/2017/07/06/solomon-islands-government-chamber-commerce-strengthen-public-private
Timor-Leste	NCC CTI-CFF, represented by EAFM WG member
	<i>1 suggested PPP-relevant participant from:</i> Ministry of Finance https://www.mof.gov.tl/about-the-ministry/organisation-structure-roles-and-people/executive-office/public-private-partnership-unit/?lang=en

2. Potential resource persons:

No.	Organization	Material	Resource Person	Contact Person
1.	NOAA	US-SIMP	TBD	Mr. Michael Abbey
2.	USAID Oceans and Fisheries Partnership	Public-Private Partnership for CDT	Ms. Araya Poomsaringkarn	Mr. Geronimo Silvestre
3.	USAID/Regional Development Mission for Asia	Development assistance to promote PPP arrangements: Public financial by donor country	TBD <i>Proposed</i> Global Development Alliance (GDA) (https://www.usaid.gov/our_work/global_partnerships/gda)	Ms. Cristina Vélez Srinivasan Dr. Craig Kirkpatrick
4.	SEAFDEC	PPP opportunities for small-scale fisheries –building infrastructure for fisheries information e.g. ACDS, VMS, FIS.	1. Dr. Yuttana Theparoonrat 2. Mr. Kongpathai Saraphaivanich	Dr. Kom Silapajarn Ms. Panitnard Taladon
5.	GIZ Deutsche Gesellschaft	GIZ's experiences on PPP arrangement in fisheries-related	Ms. Lisa Peterskovsky	Ms. Franca Sprong

	für Internationale Zusammenarbeit GmbH (GIZ)	project and alternative payment schemes.		
6.	WorldFish	PPP on fisheries sector: identifying potentials and challenges of PPP areas of development	TBD	Mr. Stanley Tan Dr. David Mills
7.	Non-Bank: small scale funding schemes	Investment framework for funding the projects	Mr. Syarif Syahrial, S.E., M.SE	Mr. Syarif Syahrial, S.E., M.SE
8.	Japan International Cooperation Agency (JICA) – Jakarta	JICA’s experiences on PPP arrangement in fisheries-related project and alternative payment schemes.	Mr. Koichi Yamashita	Mr. Gineng Pratidina Permana Sakti

9. PROPOSED TIME SCHEDULE and VENUE

2-Full Day Meeting: 4th – 5th April 2018

Venue: Aryaduta Hotel

Jl. Prajurit KKO Usman & Harun 44-48, Jakarta, INDONESIA

10. PROVISIONAL AGENDA

NO.	AGENDA ITEM	TIME	PERSON IN CHARGE/ FACILITATOR	REMARKS
DAY 1 (4 APRIL 2018)				
Session #1: Opening Session				
1.1	Registration	08.30-09.00	CTI-CFF Regional Secretariat	RS to facilitate registration
1.2	Welcoming Remark	09.00-09.10	Executive Director of CTI-CFF Regional Secretariat	ED will make welcoming remarks
		09.10-09.20	USAID/RDMA	Ms. Cristina Vélez Srinivasan or Dr. Craig Kirkpatrick
		09.20-09.30	NCC Indonesia	Director General for Marine Spatial Management Ministry of Marine Affairs and Fisheries
1.3	Election of the Chair and	09.30-09.35	Executive Director of CTI-	ED will facilitate the election of the Chair

USAID/DOI CTI-CFF REGIONAL SECRETARIAT WORK PLAN (2017-2019)

NO.	AGENDA ITEM	TIME	PERSON IN CHARGE/ FACILITATOR	REMARKS
	Co-Chairs (1,2,)		CFF Regional Secretariat	The Chair during the meeting shall come from one of representative from NCC
1.4	Appointment of the Rapporteurs	09.35-09.40	The Chair of Meeting	The Chair will appoint the Rapporteurs
1.5	Introduction of Participants	09.40-09.45	The Chair of Meeting	The Chair will invite the participants to introduce themselves to the Meeting
1.6	Adoption of Provisional Agenda	09.45-09.50	The Chair of Meeting	The Chair will facilitate adoption of provisional Agenda
	Group Photo and Coffee Break	09.50-10.15	CTI-CFF Regional Secretariat	RS to facilitate the group photo and coffee break
Session #2: PPP concept, design, and arrangement for sustainable fisheries management Chair				
2.1	USAID/RD MA (Expert/Professional)	10.15-10.45	TBD	<p><i>Suggested institution:</i> Global Development Alliance (GDA) (https://www.usaid.gov/our_work/global_partnerships/gda)</p> <p><i>Envisaged presentation on:</i> Development assistance to promote PPP arrangements: public financial by donor country</p>
2.2	NOAA (Expert/Professional)	10.45-11.15	TBD	<p>Contact Person NOAA: Mr. Michael Abbey</p> <p><i>Envisaged presentation on:</i> US Seafood Import Monitoring Program (SIMP): challenges for information technology investment</p>
2.3.	WorldFish Center (Expert/Professional)	11.15-11.45	TBD	<p>Contact Person:</p> <ol style="list-style-type: none"> 1. Mr. Stanley Tan 2. Dr. David Mills <p><i>Envisaged presentation on:</i> PPP for fisheries sectors: potential areas of development and challenges</p>
2.3	Q/A	11.45-12.00	Chair of the session	

NO.	AGENDA ITEM	TIME	PERSON IN CHARGE/ FACILITATOR	REMARKS
	Lunch Break	12.00-13.00	CTI-CFF Regional Secretariat	RS to facilitate the lunch
Session #3: Sharing Countries' PPP Best Practices and Identifying Prioritized PPP Areas of Development for Fisheries (Policies, Arrangement, Opportunities and Challenges) Co-Chair 1				
3.1	Timor-Leste	13.00-13.30	NCC Timor-Leste	
3.2	Solomon Islands	13.30-14.00	NCC Solomon Islands	
3.3	Philippines	14.00-14.30	NCC Philippines	
3.4	Q/A	14.30-14.45	Chair of the session	
	Coffee Break	14.45-15.00	CTI-CFF Regional Secretariat	
(Continued) Session #3: Sharing countries' PPP Best Practices and Identifying PPP areas of development for Fisheries (Policies, Arrangement, Opportunities and Challenges) Co-Chair 1				
3.5	Papua New Guinea	15.00-15.30	NCC Papua New Guinea	
3.6	Malaysia	15.30-16.00	NCC Malaysia	
3.7	Indonesia	16.00-16.30	NCC Indonesia	
3.8	Q/A	16.30-16.45	Chair of the Session	
END OF DAY 1				

NO.	AGENDA ITEM	TIME	PERSON IN CHARGE/ FACILITATOR	REMARKS
DAY 2 (5 APRIL 2018)				
Session #4: Private Sectors in Small Scale Fisheries Infrastructure Co-Chair 2				
4.1	USAID Oceans and Fisheries Partnership	08.30-09.00	Ms. Araya Poomsaringkarn (PPP Specialist)	<i>Envisaged presentation on:</i> USAID Oceans PPP arrangement for improving electronic CDT in seafood exporting countries
4.2	SEAFDEC	09.00-09.30	1. Dr. Yuttana Theparoonrat 2. Mr. Kongpathai Saraphaivanich	<i>Envisaged presentation on:</i> PPP opportunities for small-scale fisheries –building infrastructure for fisheries information e.g. ACDS, VMS, FIS.
4.3	Q/A	09.30-09.45	Chair of the session	

NO.	AGENDA ITEM	TIME	PERSON IN CHARGE/ FACILITATOR	REMARKS
	Coffee Break	09.45-10.00	CTI-CFF Regional Secretariat	
(Continued) Session #4: Private Sectors in Small Scale Fisheries Infrastructure Co-Chair 2				
4.4	GIZ Deutsche Gesellschaft für Internationale Zusammenar beit GmbH (GIZ)	10.00-10.30	Ms. Lisa Peterskovsky (Team Leader Inclusive Business)	<i>Envisaged presentation on:</i> GIZ's experiences on PPP arrangement in fisheries-related project and alternative payment schemes.
4.5	Japan International Cooperation Agency (JICA) - Jakarta	10.30-11.00	Mr. Koichi Yamashita (PPP Specialist)	<i>Envisaged presentation on:</i> Japan and JICA's experiences on PPP arrangement in fisheries- related project and alternative payment schemes.
4.6	Directorate of Venture Capital Management (LPMUKP)	11.00-11.30	Mr. Syarif Syahrial, S.E., M.SE (Director Public Service Agency)	<i>Envisaged presentation on:</i> Investment framework for funding the projects.
4.7	Q/A	11.30-11.45	Chair of the session	
	Lunch Break	11.45-12.45	CTI-CFF Regional Secretariat	
Session #5: CTI-CFF PPP arrangement: next plan of PPP activity in 2019 and proposed establishment of quasi task force for CTI-CFF PPP on Sustainable Fisheries Chair				
5.1	Presentation on the plan PPP activity in 2019, proposed Term of Reference (TOR) for CTI-CFF PPP event in 2019 on Sustainable	12.45-13.00	RS	RS will deliver the material regarding the TOR for CTI-CFF PPP on Sustainable Fisheries Management (e.g. background, objective, function, requirement)

NO.	AGENDA ITEM	TIME	PERSON IN CHARGE/ FACILITATOR	REMARKS
	Fisheries Management			
5.2	Propose to establish a quasi Task Force for preparing the CTI-CFF PPP event in 2019 on Sustainable Fisheries Management and Next Step	13.00-13.30	Chair	Participants will give an input, comment as well as recommendation on the development of the TOR towards the establishment of CTI-CFF PPP on Sustainable Fisheries Management RS will give presentation on the plan of the TOR of the TF and planned activity for the PPP event in 2019
Session #6: Wrap Up and Closing				
Chair				
6.1	Wrap Up the 2-Day Meeting	13.30-13.45	Chair	Chair will convey the outline summary of two days meeting
6.2	Closing Remarks	13.45-14.00	Executive Director of CTI-CFF Regional Secretariat	ED will make closing remarks
END OF DAY 2				
Side Event				
	Visiting the Marine Heritage Gallery Jakarta	14.00-15.00	CTI-CFF Regional Secretariat (RS) NCC Indonesia	RS and NCC Indonesia will facilitate the participants to visit the Marine Heritage Gallery Jakarta

11. Organizers

- Regional secretariat of CTI-CFF, Manado, North Sulawesi, Indonesia
- Co-organizer: Secretariat of NCC CTI-CFF Indonesia

Reference:

Weirowski, F. and S.J. Hall. 2008. Public-private partnerships for fisheries and aquaculture: Getting started. WorldFish Center Manual number 1875. The WorldFish Center, Penang, Malaysia.